

FACT What does 55i, LLC (“55ip”) do with your personal information?

Why? Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What? The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- o Social Security number and income
- o Account balances and transaction history
- o Investment experience

When you are *no longer* our customer, we continue to share your information as described in this notice.

How? All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons 55ip. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does 55ip share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates’ everyday business purposes – information about your creditworthiness	No	We don’t share
For nonaffiliates to market to you	No	We don’t share

Questions?

Call 1-617-960-9559

Who we are

Who is providing this notice? 55I, LLC (“55ip”)

What we do

How does 55ip protect my personal information? To protect your personal information from unauthorized access and use, we use security measures designed to comply with federal law. These measures include computer safeguards and secured files and buildings. We authorize our employees to access your information only when they need it to do their work and we require companies that work for us to protect your information.

How does 55ip collect my personal information? We collect your personal information, for example, when you:

- o Your advisor enters into a sub-advisory or trade list delivery agreement with 55ip
- o You tell us about your investment or retirement portfolio
- o You seek financial or tax advice
- o You provide account information

We also collect your personal information from other companies.

Why can't I limit all sharing? Federal law gives you the right to limit only:

- o sharing for affiliates' everyday business purposes – information about your creditworthiness
- o affiliates from using your information to market to you
- o sharing for nonaffiliates to market to you

Definitions

Affiliates Companies related by common ownership and control. They can be financial and nonfinancial companies.
o Our affiliates include companies such as J.P. Morgan's Asset Management business.

Nonaffiliates Companies not related by common ownership or control. They can be financial or nonfinancial companies.
o 55ip does not share with nonaffiliates so they can market to you.

Joint Marketing A formal agreement between nonaffiliated financial companies that together market financial products or services to you.
o 55ip does not jointly market.

